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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/044,303	01/11/2002	Dietmar J. Manstein	1974006

CONFIRMATION NO. 6803

## FORMALITIES LETTER



\*OC000000007498872\*

Candice J. Clement, Esq.  
HESLIN ROTHENBERG FARLEY & MESITI P.C.  
5 Columbia Circle  
Albany, NY 12203

COPY OF PAPERS  
ORIGINALLY FILED



Date Mailed: 02/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/08/2002 JADD01 00000019 10044303

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	81.00 OP
03 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. **310**  
Applicant must submit ~~\$740~~ to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162.  
**81** ■ ~~\$162~~ for 9 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of ~~\$130~~ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is ~~\$1032~~. **516**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu

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of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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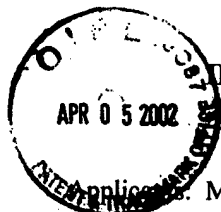
**ATTACHMENT TO "NOTICE TO COMPLY WITH  
REQUIREMENTS...SEQUENCE DISCLOSURES"**

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Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be submitted using one of the following methods:

1. Electronically submitted through EFS-Bio  
(<http://www.uspto.gov/ebs/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
2. Mailed to:  
U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202
3. Mailed by Federal Express, United Parcel Service or other delivery service to:  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Manstein *et al.*

Serial No.: 10/044,303

Filed: January 11, 2002

Atty Dkt: 1974.006

Group Art Unit: 1653

Examiner: Not yet assigned

Title: PROTEIN EXPRESSION AND  
STRUCTURE SOLUTION  
USING SPECIFIC FUSION  
VECTORS

Certificate of Mailing Under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on March 26, 2002.

*Candice J. Clement*

Candice J. Clement

Attorney for Applicants

Reg. No. 39,946

Date of Signature: March 26, 2002

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

*Filing Date Granted*

Dear Sir:

This is filed in response to the Formalities Letter mailed February 20, 2002 in connection with the above-captioned patent application. As a reply to the Letter is due by April 20, 2002, this paper is timely filed.

Enclosed herewith, and forming a part of this response, are:

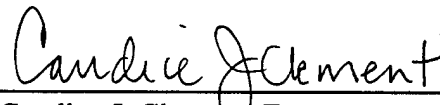
- a copy of the Formalities Letter;
- an executed Declaration and Power of Attorney;
- a check in the amount of \$516.00, consisting of
  - \$370.00 basic filing fee;
  - \$81.00 fee for 9 claims in excess of 20; and
  - \$65.00 surcharge for late filing of Declaration/filing fee;

- Communication claiming small entity status of Assignee Max-Planck-Gesellschaft zur Förderung der Wissenschaften e.V as a not-for-profit scientific organization (the fees submitted herewith are for a small entity);
- copy of Assignment document;
- replacement e-PAVE Bibliographic Details Sheet correcting the address and citizenship of Applicants, Kull and Knetsch; and
- Communication regarding diskette copy of sequence listing and statement under 37 CFR 1.821(f).

Although Applicants believe the appropriate fees to be enclosed, the Commissioner is authorized to charge any deficiency in fees, and to credit any overpayment, to Deposit Account 08-1935.

Date: March 26, 2002

Respectfully submitted,



Candice J. Clement, Esq.

Attorney for Applicants

USPTO Registration Number 39,946

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